Thirdhand smoke contamination in hospital settings: assessing exposure risk for vulnerable paediatric patients

Thomas F Northrup,1 Amir M Khan,2 Peyton Jacob III,3 Neal L Benowitz,4 Eunha Hoh,5 Melbourne F Hovell,6 Georg E Matt,7 Angela L Stotts8

ABSTRACT

Background Tobacco has regained the status of the world’s number two killer behind heart/vascular disease. Thirdhand smoke (THS) residue and particles from secondhand smoke (SHS) are suspected health hazards (eg, DNA damage) that are likely to contribute to morbidity and mortality, especially in vulnerable children. THS is easily transported and deposited indoors, where it persists and exposes individuals for months, creating potential health consequences in seemingly nicotine-free environments, particularly for vulnerable patients. We collected THS data to estimate infant exposure in the neonatal ICU (NICU) after visits from household smokers. Infant exposure to nicotine, potentially from THS, was assessed via assays of infant urine.

Methods Participants were parents who smoked and had an infant in the NICU (N=5). Participants provided surface nicotine samples from their fingers, infants’ crib/ incubator and hospital-provided furniture. Infant urine was analysed for cotinine, cotinine’s major metabolite: trans-3’-hydroxycotinine (3HC) and 4-(methylnitrosamino)-1-(3-pyridyl)-1-butanol (NNAL), a metabolite of the nicotine-derived and tobacco-specific carcinogen 4-(methylnitrosamino)-1-(3-pyridyl)-1-butanone (NNK).

Results Incubators/cribs and other furniture had detectable surface nicotine. Detectable levels of cotinine, 3HC and NNAL were found in the infants’ urine.

Discussion THS appears to be ubiquitous, even in closely guarded healthcare settings. Future research will assess potential health consequences and THS-reduction policies. Ultimately, hospital policies and interventions to reduce THS transport and exposure may prove necessary, especially for immunocompromised children.

INTRODUCTION

Thirdhand smoke (THS) results from secondhand smoke (SHS) and is a distinct public health hazard.1–3 THS-related harm (compared to SHS) has been estimated at 5–60%,4,5 and THS has been related to cardiovascular and lung disease (eg, via inflammatory cytokines, implicated in diseases like asthma),6 and hindered respiratory development in animal models.6 In-vitro studies have reported DNA damage and impaired wound healing.7

THS is difficult to remove:9–11 can persist for ≥18 months;12 reacts with extant compounds, forms new toxicants and carcinogens;13–16 and is re-emitted slowly over long time periods well after smoking has ceased.2 3 11 17 Further, smoking outdoors does not fully protect homes/residents from SHS/THS,17–19 as THS dispersal (eg, smokers’ clothes) and exposure routes (eg, dermal absorption) are numerous.

Studies find that non-smokers occupying homes vacated by smokers (or staying in non-smoking hotel rooms) had elevated finger nicotine, urine cotinine and THS-related carcinogens.10 22 These findings are concerning for premature, low-birthweight infants exposed to THS. Approximately 50% of infants born <1500 g will be ventilated in the neonatal ICU (NICU), and 22% will develop bronchopulmonary dysplasia (BPD).23 Ventilation is life-saving but leads to long-term damage (decreased lung volume),24 and BPD is associated with increased risk of pneumonia, asthma, repeated hospitalisations, neurodevelopmental problems and death.23 Over a quarter of NICU infants are discharged to a home with ≥1 smoker,26 making this a sizable population at risk for potential THS-related harm. Despite non-smoking policies, SHS levels in hospitals are detectable,25 as 25–60% of hospitalised smokers and visitors step outside to smoke and then re-enter.26 27 Healthcare provider smoking may contribute as well; data from 2007 showed 2.3% of physicians, 10.7% of registered nurses and 19.2% of respiratory therapists smoke or live with a smoker.28

This pilot was undertaken to determine whether detectable THS levels (surface nicotine) could be found inside the NICU after smokers visit, which is important as microbes found on NICU surfaces have later been found in premature infants’ intestines.29 Infant-nicotine exposure, potentially from THS, was assessed via infant urine samples. It is plausible that THS/SHS exposure on discharge from the NICU may contribute to infant morbidity and mortality (eg, SIDS).30–32 This study was designed to provide proof of exposure at birth for vulnerable babies.

METHODS

Smoking mothers with an infant (N=5) admitted to a NICU, participating in a study to reduce SHS exposure in their homes, were recruited. Research associates obtained IRB-compliant consent. Participants provided a THS (surface nicotine) wipe of their index finger, infants’ incubator/crib and a hospital-provided chair/couch (furniture; see Table Note) inside infants’ NICU rooms. Participants consented to infant urine collection and answered questions related to smoking-behaviour, breastfeeding and visitations.
THS-surface-nicotine wipes were collected using standardised procedures. Briefly, a 10 cm × 10 cm template was taped to the arm of the couch or chair, and a screened cotton wipe doused with a distilled-water and 1%-ascorbic-acid solution was used to wipe inside the template. For cribs, the top railing was measured and wiped. Wipe values were standardised by subtracting out nicotine found in blanks (M=2.6 ng/wipe). All participants reported living with other smokers, that other smokers visited the infant, and allowing smoking inside their homes or cars or both.

There was greater variability across other factors likely to be associated with surface nicotine and urine outcomes (see table 1). Participants tended to report light smoking (<10 cigarettes/day), and most participants visited daily. Participant 1’s infants were hospitalised <2 weeks, and both reported smoking allowed inside car. Participant 2 and 5 reported smoking fewer than 1 cigarette a day. For chairs, the inner material was 90% polyurethane foam and 10% polyester fibre, and the outer upholstery was made of 100% Paloma leather. For couches, the inner material was 100% polyurethane foam, and the outer upholstery was made of 90% vinyl and 10% urethane.

### RESULTS

All five infants were admitted to the NICU on their date of birth. All values have been adjusted by subtracting out nicotine found in blanks (M=2.6 ng/wipe). All participants reported living with other smokers, that other smokers visited the infant, and allowing smoking inside their homes or cars or both.

Table 1. Participant and household characteristics and surface nicotine and urine data

<table>
<thead>
<tr>
<th>Characteristic (Measure)</th>
<th>Participant</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1</td>
</tr>
<tr>
<td>Cigarettes today</td>
<td>NC</td>
</tr>
<tr>
<td>Wash hands after last cigarette</td>
<td>NA</td>
</tr>
<tr>
<td>Wash hands during visit</td>
<td>No</td>
</tr>
<tr>
<td>Smoked while pregnant</td>
<td>No</td>
</tr>
<tr>
<td>Typical cigarettes per day</td>
<td>5</td>
</tr>
<tr>
<td>Days of visitation (out of past 7)</td>
<td>NC</td>
</tr>
<tr>
<td>Day of life/infant hospitalisation</td>
<td>46</td>
</tr>
<tr>
<td>Visitation minutes (on study visit)</td>
<td>60</td>
</tr>
<tr>
<td>Infant held at visit</td>
<td>No</td>
</tr>
<tr>
<td>Protective gown worn at visit</td>
<td>NC</td>
</tr>
<tr>
<td>Protective gloves worn at visit</td>
<td>NC</td>
</tr>
<tr>
<td>Number of other household smokers</td>
<td>2</td>
</tr>
<tr>
<td>Do other household smokers visit</td>
<td>NC</td>
</tr>
<tr>
<td>Indoor home smoking allowed</td>
<td>No</td>
</tr>
<tr>
<td>Smoking allowed inside car</td>
<td>Yes</td>
</tr>
<tr>
<td>Breastfed infant in past 10 days</td>
<td>No</td>
</tr>
<tr>
<td>Feeding type at visit§</td>
<td>Bottle</td>
</tr>
<tr>
<td>Infant urine data</td>
<td></td>
</tr>
<tr>
<td>Cotinine (ng/mL) (LOQ=0.05)</td>
<td>NC</td>
</tr>
<tr>
<td>3HC (ng/mL) (LOQ=0.1)</td>
<td>NC</td>
</tr>
<tr>
<td>NNAL (pg/mL) (LOQ=0.25)</td>
<td>NC</td>
</tr>
</tbody>
</table>

‡Participant 3’s visit is labelled as ‘3A’ and visit 2 is labelled as ‘3B’. Participant 1’s crib/infucator result was below the LOD (0.1 µg/m²). The incubator measurement was not repeated for participant 3’s second measurement (ie, PPT3B).

§On the day of the assessment, infant participants 1–3 were on bottle-fed formula and participant 5 was breastfed. We did not assess whether the fluid in the bottle was expressed breastmilk or formula for participant 4.

Cigarettes Today. Cigarettes smoked on the day of the assessment; Days of Visitation, Number of days visited out of the past 7 days (not including the day of the visit); Feeding Type at Visit, Was the infant bottle fed or breastfed on the day of the assessment; Furniture, A hospital-provided couch or chair. Infant Held at Visit, Did staff observe the participant holding the infant on the day of the assessment; Number of Other Household Smokers, Number of other smokers who live in the household; Protective Gown (or Gloves) Worn at Visit, Did the staff observe the participant wearing protective gowning/gloves on the day of the assessment; Visitation Minutes (on Study Visit), Number of minutes visited on the day of sample collection; Wash Hands after Last Cigarette, On the day of the assessment, did the participant report washing their hands after their most recent cigarette; Wash Hands during Visit, On the day of the assessment, did research staff observe the participant washing their hands.

3HC, trans-3′-hydroxycotinine; LOD, limit-of-detection; LOQ, limit-of-quantification; NA, Not applicable; NC, Not collected; NICU, neonatal ICU; NNAL, 4-(methylnitrosamino)-1-(3-pyridyl)-1-butanol.

was extracted via a syringe from two cotton pads placed in the infants’ diapers. Published methods were used for quantifying cotinine, 3HC and NNAL. The limit-of-quantification (LOQ) of NNAL is 0.25 picograms (pg)/mL; cotinine’s LOQ is 0.05 ng/mL; and 3HC’s LOQ is 0.1 ng/mL.
current breastfeeding. Participants 2 and 4 did not smoke on the day of measurement and had low finger nicotine levels; whereas, participants 3 and 5 smoked on the collection day and had greater finger nicotine levels.

Surface nicotine levels of all incubators/cribs were similar and within the lower range of surface nicotine found inside smoking households that ban indoor smoking.\(^{17}\) THS levels on furniture tended to be much higher and were similar to average levels generally observed in smoking households that ban indoor smoking. However, the one repeated furniture measurement taken (participant 3) was substantially higher at the 2nd measurement, and suggested a value closer to a home that allows indoor smoking\(^{17}\) (see online supplementary figure S1).

Data for infant urine cotinine, 3HC and NNAL were all >LOQs for each respective metric, except participant 4’s 3HC. Participants 2, 3 and 4 had highly similar cotinine, 3HC and NNAL values. Participant 5’s infant was still breastfeeding and had greater cotinine, 3HC and NNAL values (see table 1).

**DISCUSSION**

For NICU infants visited by smokers, THS may be transported to and adhere to surfaces in the NICU at levels which are similar to those found in households where smokers reside. Further, this pilot study was of NICU infants from smoking households who were exposed to measurable levels of nicotine and a known carcinogen (NNK), raising the possibility of exposure due to THS re-emission (off-gassing). These findings demonstrate that exposure is taking place in at least one NICU and raises the possibility that such exposure contributes to morbidity and prematurity mortality in vulnerable babies. Results warrant confirmation and more complete assessment of NICU microenvironments, sources of contamination and their relationships to home environments to which children are discharged.

A majority of samples had surface nicotine levels above the LOD and one had a level commonly found in households that permit indoor smoking. Surface nicotine levels on infants’ incubators/cribs tended to be lower than furniture levels. Infants receive a new, thoroughly cleaned incubator every 30 days, which is not true of furniture. Other possibilities for lower levels include increased cleaning attention for cribs/incubators or relatively little time spent at the crib’s side in favour of sitting on the furniture. The greater levels on NICU furniture could suggest that clothing worn by visitors is transferring much of the THS residue.

These data have implications for further research and policies. For example, whether NICU exposures will cause acute or long-term harm is unknown. However, there is no safe level of SHS\(^{40}\) and whether there is a safe level of THS exposure for immunocompromised infants is yet to be verified by large, long-term epidemiological studies.

NICUs often require visitors to wash/sanitise their hands, wear protective gowns or gloves and take other precautions before entering the NICU. We only recorded data on these practices on the day of the assessment, and incomplete use of protective gowns/gloves and handwashing by study participants was observed. Two (of five) participants smoked on the assessment day, and only one reported washing their hands since their most recent cigarette. Research staff did not observe any glove use or hand washing. Studies show hand washing policies are not universally enforced (eg, a review of hand washing in 65 ICUs reported 40% median compliance)\(^{41}\) and it is unknown whether hand washing or sanitisation significantly reduces the amount of nicotine transported. Further research on the effectiveness of these procedures for reducing THS is clearly needed.

This initial, post hoc study has limitations. For example, the half-life of NNAL in adults is approximately 10–16 days,\(^{42}\) and the half-life for infants (and how long in utero exposure takes to wash out) is unknown. Thus, some (or all) of the infants’ NNAL may have come from in utero exposure via the mother. Cotinine has a much shorter half-life of 16–22 h, which is similar for adults and infants,\(^{43} \)\(^{44}\) but less understood in premature infants. Further, this small sample is unable to tease out the influence of other variables, including previous-room-occupant smoking, staff smoking, visitation frequency/length (including visitation by other household members) and breastfeeding (particularly for 2 participants). Also, we assessed infant rooms where the mother was a smoker (and other smokers visited), which were likely to have greater levels of nicotine deposits than infants visited by non-smokers. Residual nicotine adhesion and dynamics differ across surface type.\(^{12} \)\(^{45}\) Surface-nicotine variability has been found across settings, including dashboards sampled in rental cars (IQR: 0.1–3.1 μg/m\(^2\)) (designated-smoking cars); 0.0–1.2 μg/m\(^2\) (designated non-smoking cars);\(^{16}\) homes (IQR: 0.7–13.7 μg/m\(^2\));\(^{19}\) and hotels show significant variability based on indoor-smoking-ban policies. For example, non-smoking hotels (IQR: 0.0–3.4 μg/m\(^2\)) have the least surface nicotine, and non-smoking (IQR: 0.0–10.3 μg/m\(^2\)) and smoking rooms (IQR: 7.3–353.2 μg/m\(^2\)) in hotels without complete bans tend to have the greatest surface nicotine. Finally, research should quantify the cumulative amount of THS that is absorbed by ongoing contact, as much of the health effect may be due to a relatively large ‘dose’ achieved by cumulative exposure. These data raise questions that require replication with rigorous methodology in larger samples.

**CONCLUSION**

This research highlights THS’s pervasiveness, even in closely guarded healthcare settings. Future work is needed to understand exposures and health consequences in such a vulnerable population. Indeed, the death rate among NICU infants is relatively high\(^{16}\) and the role of environmental carcinogens is unknown. It may be important to implement hospital policies and interventions to reduce THS exposure, even ahead of collection of causal data, given the potential risks for NICU patients. Extending smoke-free policy definitions to include THS could have the added benefit to hasten the elimination of SHS in other environments.\(^{31} \)\(^{47}\)
Author affiliations
1Department of Family and Community Medicine, The University of Texas Health Science Center at Houston (UTHealth) Medical School, Houston, Texas, USA
2Department of Pediatrics, UTHealth Medical School; Medical Director Level III NICU, Children’s Memorial Hermann Hospital, Houston, Texas, USA
3Departments of Medicine and Psychiatry, University of California San Francisco; Division of Clinical Pharmacology, San Francisco General Hospital Medical Center, San Francisco, California, USA
4Departments of Medicine and Bioengineering & Therapeutic Sciences, University of California San Francisco, San Francisco, California, USA
5Division of Environmental Health, Graduate School of Public Health, San Diego State University, San Diego, California, USA
6Center for Behavioral Epidemiology and Community Health, Graduate School of Public Health, San Diego State University, San Diego, California, USA
7Department of Psychology, San Diego State University, San Diego, California, USA
8Department of Family and Community Medicine, Department of Psychiatry and Behavioral Sciences, UTHealth Medical School, Houston, Texas, USA

Acknowledgements
The authors wish to thank Maleeka Arshad, Mary MacGregor, Maria Ortiz, Mackenzie Spellman, Jennifer Meeks, Lora Bunge and Rose Young for their help in initiating and conducting the study. Kayo Watanabe and Dana Datuin assisted with the surface nicotine analysis. Additionally, the authors would like to thank the staff of the Children’s Memorial Hermann Hospital.

Contributors
TFN and ALS conceptualised, designed and oversaw the study in consultation with AMK, PJ, NLB, EH, MFH and GEM. Specifically, AMK, as the Medical Director of the Children’s Memorial Hermann Hospital neonatal ICU, provided expertise in this area during the conduct of the study. PJ and NLB provided oversight and expertise related to infants’ urine collection, analyses and interpretation. EH, MFH and GEM provided oversight and expertise related to the surface nicotine collection, analyses and interpretation. TFN was the primary writer with all authors providing edits/revisions across several drafts of the manuscript.

Funding
This work was supported by the National Heart, Lung and Blood Institute (R01 HL107404, PI=ALS; R01 HL103684, PI=Reese T Jones) and the National Institute on Drug Abuse (P30 DA012393; PI=Reese T Jones), and the California Tobacco-Related Disease Research Programme (TRDRP) for certifying smoke-free Used Cars: Effects on Value and Consumer Behaviour (21RT-0142; PI=GEM).

Competing interests
None declared.

Ethics approval
UTHealth Medical School Committee, for the Protection of Human Subjects.

Provenance and peer review
Not commissioned; externally peer reviewed.

REFERENCES
37 Jacob P III, Havel C, Lee D-H, et al. Subpicogram per milliliter determiniation of the tobacco-specific carcinogen metabolite 4-(methylamino)–1-(3-pyridyl)–1-butanol


Thirdhand smoke contamination in hospital settings: assessing exposure risk for vulnerable paediatric patients

Thomas F Northrup, Amir M Khan, Peyton Jacob III, Neal L Benowitz, Eunha Hoh, Melbourne F Hovell, Georg E Matt and Angela L Stotts

Tob Control published online December 3, 2015

Updated information and services can be found at:
http://tobaccocontrol.bmj.com/content/early/2015/10/22/tobaccocontrol-2015-052506

These include:

Supplementary Material
Supplementary material can be found at:
http://tobaccocontrol.bmj.com/content/suppl/2015/10/22/tobaccocontrol-2015-052506.DC1.html

References
This article cites 43 articles, 17 of which you can access for free at:
http://tobaccocontrol.bmj.com/content/early/2015/10/22/tobaccocontrol-2015-052506#BIBL

Email alerting service
Receive free email alerts when new articles cite this article. Sign up in the box at the top right corner of the online article.

Topic Collections
Articles on similar topics can be found in the following collections
Press releases (38)

Notes

To request permissions go to:
http://group.bmj.com/group/rights-licensing/permissions

To order reprints go to:
http://journals.bmj.com/cgi/reprintform

To subscribe to BMJ go to:
http://group.bmj.com/subscribe/